

Notice of Intent to Adopt a Rule
LSA Document #11-786

Under [IC 4-22-2-23](#), the Indiana Gaming Commission intends to adopt a rule concerning the following:

OVERVIEW: Adds [68 IAC 1-1-3.5](#) regarding definitions. Amends [68 IAC 1-1-17](#), [68 IAC 1-1-54](#), [68 IAC 1-1-88](#), [68 IAC 1-1-90](#), [68 IAC 15-5-1](#), and [68 IAC 15-6-1](#) regarding definitions. Amends [68 IAC 1-4-2](#) through [68 IAC 1-4-4](#), [68 IAC 1-4-7](#), [68 IAC 1-7-1](#), [68 IAC 1-9-1](#), [68 IAC 1-9-2](#), [68 IAC 1-9-4](#), [68 IAC 1-12-2](#), [68 IAC 1-12-5](#), [68 IAC 1-14-2](#), [68 IAC 1-16-1](#), [68 IAC 1-16-3](#), [68 IAC 1-16-4](#), [68 IAC 2-1-3](#) through [68 IAC 2-1-5](#), [68 IAC 2-1-7](#), [68 IAC 2-1-9](#), [68 IAC 2-1-11](#), [68 IAC 2-4-1](#), [68 IAC 2-5-2](#), [68 IAC 2-5-5](#), [68 IAC 2-6-6](#), [68 IAC 2-6-22](#), [68 IAC 2-6-30.1](#), [68 IAC 2-6-31](#), [68 IAC 2-6-33](#), [68 IAC 2-6-45](#), [68 IAC 2-7-1](#), [68 IAC 2-7-2](#), [68 IAC 2-7-9](#), [68 IAC 4-1-7](#), [68 IAC 4-1-8](#), [68 IAC 5-1-2](#), [68 IAC 5-1-4](#), [68 IAC 5-1-7](#), [68 IAC 5-2-1](#), [68 IAC 5-2-2](#), [68 IAC 5-2-5](#), [68 IAC 5-3-1](#) through [68 IAC 5-3-3](#), [68 IAC 10-1-2](#), [68 IAC 10-1-3](#), [68 IAC 11-1-1](#) through [68 IAC 11-1-3](#), [68 IAC 11-2-2](#), [68 IAC 11-2-3](#), [68 IAC 11-3-3](#), [68 IAC 11-7-2](#), [68 IAC 12-1-0.5](#), [68 IAC 12-1-1.5](#), [68 IAC 12-1-5.5](#), [68 IAC 12-1-8](#), [68 IAC 14-1-1](#), [68 IAC 14-5-5](#), [68 IAC 14-16-3](#), [68 IAC 15-1-2](#), [68 IAC 15-1-3](#), [68 IAC 15-1-6](#), [68 IAC 15-2-3](#), [68 IAC 15-5-2](#), [68 IAC 15-8-4](#) through [68 IAC 15-8-6](#), [68 IAC 15-9-2](#), [68 IAC 15-10-2](#) through [68 IAC 15-10-4](#), [68 IAC 15-10-5](#), [68 IAC 16-1-2](#), [68 IAC 16-1-3](#), [68 IAC 16-1-5](#), [68 IAC 16-1-7](#), [68 IAC 16-1-14](#), [68 IAC 17-1-2](#), [68 IAC 17-1-3](#), and [68 IAC 18-1-3](#) by including [IC 4-35](#) as an applicable gaming law. Amends [68 IAC 1-4-5](#) regarding related party transactions. Amends [68 IAC 1-4-7](#), [68 IAC 1-5-1](#), and [68 IAC 1-5-2](#) regarding reporting requirements. Amends [68 IAC 1-4-11](#), [68 IAC 1-7-2](#), [68 IAC 1-9-5](#), [68 IAC 1-10-3](#), [68 IAC 2-1-13](#), [68 IAC 2-6-48](#), [68 IAC 2-7-11](#), [68 IAC 4-1-10](#), [68 IAC 5-1-8](#), [68 IAC 5-2-6](#), [68 IAC 5-3-6](#), [68 IAC 8-1-10](#), [68 IAC 8-2-28](#), [68 IAC 10-1-8](#), [68 IAC 11-1-7](#), [68 IAC 12-1-14](#), [68 IAC 14-1-3](#), [68 IAC 15-1-7](#), [68 IAC 16-1-15](#), [68 IAC 17-1-4](#), [68 IAC 17-2-5](#), [68 IAC 18-1-5](#), and [68 IAC 19-1-5](#) by making uniform the standards and procedure for deviations from provisions. Adds [68 IAC 1-5-4](#) regarding deviations from provisions. Amends [68 IAC 1-6-1](#) regarding eligibility to appear before the Commission. Amends [68 IAC 1-9-1](#) regarding definitions and requirements for the surveillance room and commission office. Amends [68 IAC 1-9-2](#) regarding commission surveillance room equipment. Amends [68 IAC 1-9-3](#) regarding commission office equipment. Amends [68 IAC 1-9-4](#), [68 IAC 2-5-1](#), [68 IAC 4-1-1](#), and [68 IAC 4-1-5](#) by correcting a reference to the Indiana Code. Amends [68 IAC 1-13-1](#) regarding a definition and ownership reporting requirements. Amends [68 IAC 1-14-2](#), [68 IAC 1-14-3](#), and [68 IAC 9-3-3](#) regarding the change from state police to commission-employed gaming agents. Amends [68 IAC 1-16-2](#) regarding display of the compulsive gambling toll free number. Amends [68 IAC 2-1-1](#) regarding ownership of interests in multiple casino licensees. Amends [68 IAC 2-1-4](#) regarding the application procedure for casino licenses. Amends [68 IAC 2-1-5](#) regarding riverboat and nonriverboat licensing requirements and the commission's marine contractor. Amends [68 IAC 2-1-7](#) regarding requirements for bonds. Amends [68 IAC 2-4-1](#) and [68 IAC 2-4-2](#) regarding felony waivers. Adds [68 IAC 2-4-8.7](#) regarding interim waivers. Amends [68 IAC 2-5-2](#) regarding accreditation of occupational training schools. Amends [68 IAC 2-6-5](#) regarding security and audit specifications for electronic gaming devices. Amends [68 IAC 2-6-16](#) and [68 IAC 2-6-43](#) regarding TITOs. Amends [68 IAC 2-7-2](#) and [68 IAC 15-2-6](#) regarding Social Security numbers. Amends [68 IAC 4-1-7](#) regarding requirements for reporting SEC filings. Amends [68 IAC 5-1-1](#) through [68 IAC 5-1-7](#) regarding transfer of ownership in publicly traded corporations. Amends [68 IAC 5-2-1](#) through [68 IAC 5-2-3](#) regarding transfer of ownership in persons other than publicly traded corporations. Adds [68 IAC 5-2-3.2](#) regarding institutional investors. Amends [68 IAC 5-3-1](#) through [68 IAC 5-3-5](#) regarding debt transactions. Amends [68 IAC 6-2-1](#) regarding eviction criteria. Amends [68 IAC 10-1-1.2](#) regarding TITOs as a patron asset. Amends [68 IAC 12-1-3](#) regarding surveillance equipment. Amends [68 IAC 15-2-5](#) regarding surveillance of currency transactions. Amends [68 IAC 15-5-9](#) regarding filing deadlines for monthly revenue reports. Amends 15-6-4 regarding agencies that can issue certificates of compliance. Amends [68 IAC 16-1-4](#) regarding procedures for extending credit. Amends [68 IAC 16-1-10](#) regarding check cashing privileges. Repeals [68 IAC 1-2-3](#) and [68 IAC 1-4-8](#). Makes numerous technical changes. Makes numerous other changes concerning obsolete and duplicative language. Public questions or comments concerning the proposed rule may be addressed to Adam F. Packer, General Counsel, Indiana Gaming Commission, East Tower, Suite 1600, 101 West Washington Street, Indianapolis, IN 46204. Statutory authority: [IC 4-33-4](#); [IC 4-35-4](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Regulatory Coordinator for this rule is:

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101 W. Washington St., Suite 1600-East
Indianapolis, IN 46204
(317) 233-0046
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For purposes of [IC 4-22-2-28.1](#), the Small Business Ombudsman designated by [IC 5-28-17-5](#) is:

Eric P. Shields
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 234-3997
smallbizombudsman@iedc.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in [IC 5-28-17-5](#), specifically [IC 5-28-17-5\(9\)](#), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

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An [html](#) version of this document.